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	M PTO- 12-200		ATTORNEY DOCKET NUMBER 4586-4005								
1		TRANSMITTAL LETTE									
		DESIGNATED/ELECT	U.S. APPLICATION NO. (If known. see 37 CFR 1.51)								
		CONCERNING A SUBMI	TBA 10/519429								
ı		NATIONAL APPLICATION	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED 9 July 2002							
PCT/GB2003/002956   9 July 2003   9 July 2002   TITLE OF INVENTION											
Optical Amplifying Device											
APPLICANT(S) FOR DO/EO/US Michael John DAMZEN											
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:											
1.	$\boxtimes$	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
2.		This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4.		The US has been elected. (Article 31).									
5.	$\boxtimes$	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
	ъ.	<ul> <li>is attached hereto (required only if not communicated by the International Bureau).</li> <li>has been communicated by the International Bureau.</li> <li>is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>									
6.		An English language translation of the International application as filed (35 U.S.C. 371(c)(2)).									
		is attached hereto Implies the description of the d									
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
<ul> <li>a.  are attached hereto (required only if not communicated by the International Bureau).</li> <li>b.  have been communicated by the International Bureau.</li> <li>c.  have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d.  have not been made and will not be made.</li> </ul>											
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9.		An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10.		An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
Iten	ns 11	to 20 below concern document(s)	or information included:								
11.	$\boxtimes$	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.									
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13.	<b>⊠</b>	A preliminary amendment.									
14.		An Application Data Sheet under 37 CFR 1.76.									
15.		A substitute specification.									
16.		A power of attorney and/or chang	ge of address letter.								
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821-1.825.									
18.		A second copy of the published international application under 35 U.S.C. 154(d)(4).									
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).									
20.	$\boxtimes$	Other items or Information:									
		International Publication Number	r WO 2004/006395 A1. The International Search	Report: Search Report under Section 17(5)							

T12 Rec'd POT/PTO 2 2 DEC 2004

		INTERNATIONAL APPL		19115	1100 = 1 =					
U.S. APPLICATION NO. (	if known, see 37 C.F.R. 1.51)	4586-4005	0.							
21. The following	lees are summitted.	2 ST/GB2003/002		•	i -					
a) Basic natio			\$30	00.00	\$ 300.00					
	on fee	00.00	\$ 200.00							
C) Search fee		\$ 500.00								
	VE CALCULATION	\$ 1000.00								
Additional fee for specification and drawings filed in paper over 100 sheets										
(excluding sec	quence listing or con									
medium). The	fee is \$250 for each	additional 50 sheets o		thereof.						
		Number of each addition								
Total Sheets	Extra Sheets	thereof (round up to a w	hole number)	RATE						
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		•	TOTAL NATION	IAL FEE =	\$1000.00					
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b.  Please cha	b. Please charge my Deposit Account No. <u>13-4500</u> in the amount of \$ to cover the above fees.									
	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-4500. Order No. 4586-4005 A duplicate copy of this sheet is enclosed.									
•		card. WARNING: Info				ormation should not be				
included		credit card information ar			·					
	NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.37(a) or (b)) must be filed and granted to restore the application to pending status.									
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Form PTO-1390 (RE	V 12-2004)		page 2 of 2							

<u>PATENT</u> Docket No. <u>4586-4005</u>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Michael John DAMZEN

Group Art Unit: TBA

Examiner: TBA

Serial No.

TBA

Filed

December 22, 2004

For

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Optical Amplifying Device

## **EXPRESS MAIL CERTIFICATE**

Express Mail Label No. EV 460049656 US

Date of Deposit December 22, 2004

I hereby certify that the following attached paper(s) and/or fee Transmittal Letter to the United States

Designated/Elected Office; (International Publication No. WO 2004/006395; International Search Report; Search

Report under section 17(5)); Information Disclosure Statement; Form PTO-1449 (enclosing cited references); a

check in the amount of \$1000.00; Preliminary Amendment and Return Postcard is being deposited with the United

States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313.

Vivian King

(Typed or printed name of person mailing paper(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

CORRESPONDENCE ADDRESS: MORGAN & FINNEGAN, L.L.P. 3 World Financial Center New York, New York 10281 (212) 415-8700 telephone (212) 415-8701 Facsimile

FORM: EXP-MAIL.NY

Rev. 05/27/98